

IOI Corporation Berhad

RSPO Membership No: 2-0002-04-000-00

PLANTATION MANAGEMENT UNIT

Pukin Grouping

Rompin & Maudzam Shah (Pahang), Segamat &
Tangkak (Johor), Malaysia



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Public Summary Report

(abridged version)

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Report No.: R2020/10-4 IOI Corporation Berhad Pukin Grouping
Annual Surveillance Assessment (ASA-03) cum Extension of Scope

ANNUAL SURVEILLANCE ASSESSMENT (ASA-03) cum EXTENSION OF SCOPE ON RSPO CERTIFICATION

PUBLIC SUMMARY REPORT

IOI CORPORATION BERHAD

RSPO Membership No: 2-0002-04-000-00

PLANTATION MANAGEMENT UNIT

Pukin Grouping

Rompin & Maudzam Shah (Pahang), Segamat & Tangkak (Johor),
Malaysia

Certificate No:

Issued date:

Expiry date:

RSPO 927888

13 Jun 2012

12 Jun 2017

Assessment Type

Initial Certification (Main Assessment)

Annual Surveillance Assessment (ASA-01)

Annual Surveillance Assessment (ASA-02)

Annual Surveillance Assessment (ASA-03)

Annual Surveillance Assessment (ASA-04)

Re-Certification

Assessment Dates

8-11 Dec 2010

5-9 Nov 2012

22 – 26 Apr 2013

08-11 Apr 2014

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1.0 SCOPE OF ANNUAL SURVEILLANCE ASSESSMENT (ASA-03) cum EXTENSION OF SCOPE

1.1 Introduction

This Annual Surveillance Assessment (ASA-03) cum Extension of Scope was conducted on the Plantation Management Unit (PMU) Pukin Grouping of IOI Corporation Berhad (hereafter abbreviated as IOI), from 8-11 Apr 2014, to assess if the organization's operations of the mill and its supply bases were in compliance against the RSPO Principles and Criteria (Apr 2013), Malaysian National Interpretation (Nov 2010) and the RSPO Supply Chain Certification Standard (Nov 2011) for Palm Oil Mill. This assessment also includes a review of the changes made by the PMU to comply with the requirements of the ratified new RSPO Principles and Criteria (effective 25 Apr 2013). It was found that the PMU is aware of the new RSPO Principles and Criteria and the transition period for compliance but has not yet make the necessary changes required.

The Extension of Scope is to include 3 estates (viz., Leepang A Estate, Laukin A Estate and Bukit Serampang Estate), previously under other certified IOI PMUs, into the Pukin Grouping.

The plantation management unit (PMU) or management unit is equivalent to a certification unit as defined in the RSPO Certification Systems Document. Each PMU consists of one mill and its supply bases which are made up of estates owned by IOI Corporation Berhad

1.2 Location (address, GPS and map) of palm oil mill and estates

Pukin Grouping consists of 1 palm oil mill, namely Pukin Palm Oil Mill and 7 estates as indicated in Table 1 below, which includes the addresses and GPS locations of the mill and estates. The location maps are provided in **Appendix B**.

Table 1: Address of Palm Oil Mill, Estates and GPS Location

Name	Address	GPS Reference	
		Latitude	Longitude
Pukin Palm Oil Mill Capacity (60 mt/hr)	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 43'07.9" N	102° 54'28.7" E
Pukin Estate	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 43'07.9" N	102° 54'28.7" E
Shahzan 1 Estate	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 47'58.5" N	102° 50'56.3" E
Shahzan 2 Estate	36km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 48'59.6" N	102° 52'26.5" E
Segamat Estate	Km 5, Jalan Segamat Muar, 85009 Segamat, Johor	02° 29'22.0" N	102° 52'58.5" E
Leepang A Estate	KM 68, Kuantan-Segamat Highway, 26700 Muadzam Shah, Pahang.	03°00'36" N	103°01'48" E
Laukin A Estate	KM 72, Kuantan-Segamat Highway, 26700 Muadzam Shah, Pahang.	03°01'26" N	103°02'33" E
Bukit Serampang Estate	KM 12, Jalan Sagil-Tangkak, Segamat, 84900, Tangkak, Johor.	02°19'53.65" N	102°41'17.37" E

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1.3 Description of supply base (fruit sources)

The supply base i.e. FFB sources to the POM at Pukin Grouping PMU are from the abovementioned 7 estates which are owned by IOI Corporation Berhad. Verification done on site during the Annual Surveillance Assessment (ASA-03) cum Extension of Scope confirmed that there were no outgrowers / independent suppliers / smallholders involved in the supply of FFB to the said PMU. However, Pukin Grouping has included 3 additional estates (previously certified under different PMU) into the Pukin Grouping since the last assessment and these estates were audited during this assessment.

Details of the planted hectareage for the FFB supply for Pukin grouping are as shown in Table 2 below.

Table 2: Estate Area Summary - 2013 / 2014

Estate	Area Summary (ha) – 2013 / 2014	
	Certified Area	Planted Area
Pukin Estate (<i>Assessed in ASA-01 and ASA-02</i>)	2,437.26	2,188.00
Shahzan 1 Estate (<i>Assessed in ASA-02</i>)	1,562.95	1,517.00
Shahzan 2 Estate (<i>Assessed in ASA-02</i>)	1,640.77	1,602.00
Segamat Estate (<i>Assessed in ASA-01</i>)	1,921.62	1,779.00
Leepang A Estate (<i>Assessed in ASA-03</i>)	2403.70	1,829.00
Laukin A Estate (<i>Assessed in ASA-03</i>)	1,619.90	1,051.00
Bukit Serampang Estate (<i>Assessed in ASA-03</i>)	2,564.46	2,558.00
Total:	14,150.66	12,524.00
Percentage:	100%	88.50%

Notes:

1. This Annual Surveillance Assessment (ASA-03) cum Extension of Scope covered the overall land use for oil palm plantation areas, and the identified Conservation areas including HCV areas marked out at the estates.
2. The estates sampled for this Annual Surveillance Assessment (ASA-03) cum Extension of Scope have been selected based on their potential risks on social, environmental and biodiversity issues such as their proximity to forest reserves, hill sides, riparian zones and high conservation value areas.

1.4 Summary of plantings and cycle

The year of plantings and age profiles for the respective estates is as per Table 3 below.

Table 3: Age Profile of Planted Oil Palm 2013/2014

Estate Name	Year of Planting	Cycle of Planting	Mature OP (ha) – Above 3 years	Immature OP (ha) – 3 years & below
1. Pukin Estate	2007-2010	2 nd	2,188	0
	2004-2007	2 nd		
	1994-2003	1 st		
2. Shahzan 1 Estate	2003	1 st	1,517	0
3. Shahzan 2 Estate	2002-2003	1 st	1,602	0

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4. Segamat Estate	2013	2 nd	1,660	119
	2004-2006	2 nd		
	1994-2003	2 nd		
	1989-1993	1 st		
5. Leepang A Estate	2002	1 st	1,829	0
	2001	1 st		
6. Laukin A Estate	2002	1 st	1,051	0
7. Bukit Serampang Estate	2011-2012	2 nd	2,462	96
	2007	2 nd		
	1999-2002	2 nd		
	1993-1998	1 st		
		Total	12,309	215

1.5 Summary of Conservation and HCV Areas

The summary of Conservation and HCV Areas as identified in Pukin Grouping during this Annual Surveillance Assessment (ASA-03) cum Extension of Scope in 2014 is as shown in Table 4 below:

Table 4: Conservation and HCV Areas

#	Statement of Land Use (Ha)	2012 / 2013 (Annual Surveillance Assessment ASA-02) Hectarage – Ha	2013 / 2014 (Annual Surveillance Assessment ASA-03) Hectarage – Ha
1	Planted Area (ha) – Oil Palm		
	- Mature	7,096	12,309
	• Immature	0	215
2	Conservation Area (ha)		
	- comprising buffer zones along small streams, hilly areas, swampy and unplantable areas	12.20	22.77
3	HCV Area (ha)		
	- comprising buffer zones near forest reserves, water catchments, burial & religious sites	7.30	14.89

1.6 Other certifications held and Use of RSPO Trademarks

There are currently no other certifications held by IOI -Pukin Grouping PMU. The RSPO's trademarks and logo are not being used by the PMU audited. Instructions for use were provided and acknowledged by the PMU through a signed Memorandum of commitment agreeing to adhere to the latest "RSPO Rules on Communications & Claims".

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1.7 Organizational information / Contact Person

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1.8 Tonnages Verified for Certification

1.8.1 The breakdown of all the suppliers and their tonnages of FFB supplied to the **POM at Pukin Grouping** based on the reporting period for 2013 / 2014 are as shown in Table 5 below:

Table 5: FFB Supply to Pukin Grouping POM for reporting period 2013 / 2014

(01 Jul 2013 until 30 Jun 2014 – Actual 9 months + projected 3 months).

#	Estate /Supplier	FFB Processed (MT)	Main Receiving Palm Oil Mill	RSPO P&C Certification By CB (date)
1.	Pukin estate	40,345.32	Pukin Oil Mill	Intertek (2012)
2.	Segamat estate	44,968.24	Pukin Oil Mill	Intertek (2012)
3.	Shahzan 1 estate	43,431.96	Pukin Oil Mill	Intertek (2012)
4.	Shahzan 2 estate	46,421.39	Pukin Oil Mill	Intertek (2012)
5.	Leepang A estate	49,955.20	Pukin Oil Mill	SIRIM (2010)
6.	Laukin A estate	24,296.61	Pukin Oil Mill	SIRIM (2010)
7.	Bukit Serampang estate	65,948.69	Pukin Oil Mill	SGS (2010)
A	Sub-Total Pukin PMU estates	315,367.41		
8.	Bkt Dinding estate	311.29	Gomali Oil Mill	SGS (2010)
9.	Bahau estate	408.99	Gomali Oil Mill	SGS (2010)
10.	Regent estate	144.58	Gomali Oil Mill	SGS (2010)
11.	Sagil estate	2,218.58	Gomali Oil Mill	SGS (2010)
12.	Jasin Lalang estate	135.74	Gomali Oil Mill	SGS (2010)
13.	Detas	287.75	Bukit Leelau Oil Mill	SIRIM (2009)
14.	Merchong	319.35	Bukit Leelau Oil Mill	SIRIM (2009)
15.	Mekassar	63.53	Bukit Leelau Oil Mill	SIRIM (2009)
B	Sub-Total other IOI certified estates:	3,889.81		
C	Grand total:	319,257.22		

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1.8.2 Total annual volumes / tonnages of FFB supplied from the supply base to Pukin Grouping POM during the previous period, current assessment and projected period are as shown in Table 6 below:

Table 6: FFB Processed by Pukin Grouping POM for 3-Year reporting period

Estate / Supplier	FFB Processed in 2012 / 2013 (01 Jul 2012 – 30 Jun 2013)		FFB Processed in 2013 / 2014 Actual + Projected 3 months (01 Jul 2013 -30 Jun 2014)		FFB Processed for 2014 / 2015 - Projected (01 Jul 2014 - 30 Jun 2015)	
	MT	%	MT	%	MT	%
Pukin Grouping	168,900.90	66.59	315,367.41	98.78	325,000	98.69
Other Suppliers (certified PMUs under IOI Group)	84,750.69	33.41	3,889.81	1.22	4,300	1.31
Total	253,651.59	100.00	319,257.22	100.00	329,300	100.00
SCCS Model for POM	SG		SG		SG	

1.8.3 The annual certifiable tonnages of CPO and PK production by Pukin Grouping from the supply base/suppliers as assessed and verified during the current Annual Surveillance Assessment (ASA-03) cum Extension of Scope (based on 2013 / 2014 data) are detailed as shown in Table 7 below:

Table 7: Total CPO and PK Produced by Pukin Grouping POM for 3-Year Reporting Period

POM	2012 / 2013		2013 / 2014 - Actual + Projected		2014 / 2015 - Actual + Projected	
Total FFB Processed (MT)	253,651.59		319,257.22		329,300.00	
Total CPO Production (MT)	56,057.00	OER: % 22.1%	68,768.01	OER: 21.54%	74,915.75	OER: 22.75%
Total PK Production (MT)	10,932.38	KER: % 4.31%	15,260.45	KER: 4.78%	16,465.00	KER: 5.00%

Note: Currently, the POM has established and maintained procedures for the book keeping and monitoring requirements for the CPO at the mill and was verified to be adopting the 'Segregation – SG' model in accordance with the RSPO Supply Chain Certification Standard (SCCS) requirements.

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1.9 Time Bound Plan for Other Management Units

IOI Corporation Berhad has been a member of RSPO since 18 May 2004 and is committed to full compliance with the RSPO P&C and full certification in all aspects of its operations. IOI Corporation Berhad owns and operates 12 palm oil mills and 77 estates throughout Malaysia and Indonesia.

To-date a significant number of its Plantation Management Units (PMUs) have undergone the RSPO certification process in accordance with its revised and updated time bound plan which is to achieve RSPO certification for all its PMU's by year end 2017.

Currently, the Time Bound Plan as submitted by IOI Group is subject to certain conditions as set by the RSPO Secretariat.

The information pertaining to IOI's plantation activities and status are also available at the following website link:
http://www.ioigroup.com/business/busi_plantoverview.cfm
http://www.ioigroup.com/business/busi_millsestates.cfm

1.10 Abbreviations Used

CB	Certification Body	LTA	Lost Time Accidents
CHRA	Chemical Health & Risk Assessment	Intertek	Intertek Certification International Sdn Bhd
CPO	Crude Palm Oil	IOI	IOI Corporation Berhad
CSDS	Chemical Safety Data Sheets	MSDS	Material Safety Data Sheets
CSPO	Certified Sustainable Palm Oil	MTCS	Malaysia Timber Certification Scheme
CSPK	Certified Sustainable Palm Kernel	NCR	Non-Conformance Report
EFB	Empty Fruit Bunch	NGO	Non-Government Organization
EHS	Environmental Health & Safety	OER	Oil Extraction Rate
EIA	Environmental Impact Assessment	OHS	Occupational Health & Safety
ETP	Effluent Treatment Plant	PEFC	Programme for the Endorsement of Forest Certification
FFB	Fresh Fruit Bunch	PK	Palm Kernel
GAP	Good Agriculture Practice	PMU	Plantation Management Unit
HCV	High Conservation Values	POM	Palm Oil Mill
IPM	Integrated Pest Management	POME	Palm Oil Mill Effluent
ISCC	International Sustainability & Carbon Certification	PPE	Personal Protective Equipment
IUCN	International Union for Conservation of Nature	SCCS	Supply Chain Certification Standard
KER	Kernel Extraction Rate	SOP	Standard Operating Procedures

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2.0 ASSESSMENT PROCESS

2.1 Assessment Methodology, Plan and Site Visits

Since 4 Mar 2014, Intertek has initiated public communications and notifications and invited the relevant stakeholders before the assessment to provide feedback and comments on their concern (if any) on Pukin Grouping regarding the environmental, biodiversity, community development and other relevant issues.

From 8-11 Apr 2014, the Assessment team of Intertek conducted the Annual Surveillance Assessment (ASA-03) cum Extension of Scope in which 3 out of the 7 estates of Pukin Grouping namely Leepang A Estate, Laukin A Estate, and Bukit Serampang Estate as well as the palm oil mill were assessed for compliance against the RSPO requirements. The number of estates sampled was based on a minimum sample of $0.8\sqrt{y}$ where y is the number of management sub-units and the selection was made based on their potential risks on social, environmental and biodiversity issues such as their proximity to forest reserves, hill sides, riparian zones and high conservation value areas.

During the on-site assessment, relevant documents and records, including Standard Operating Procedures (SOP), management plans, hectare development, FFB, CPO and PK production, oil palm age profile, operational controls and measures, operational data and records, training records, etc. were reviewed and verified for compliance. The Assessment team using the process approach auditing technique covered the palm oil mill and estate operations, agricultural practices, pest management, pesticide and fertilizer application, occupational health and safety, social accountability, environment and other requirements. Stakeholders' interviews were conducted during the assessment and feedback obtained as part of information and evidence gathering. (See section 2.5 Process of stakeholder consultation).

Pukin Grouping POM was also assessed against the requirements for the Segregation Module as specified in RSPO Supply Chain Certification Standard for CPO mill. This part of the assessment covered the verification of implementation of documented procedures and availability of records to demonstrate compliance against all the elements for Segregation requirements. These include documented procedure, purchasing and goods in, record keeping, sales and goods out, processing, monitoring and traceability of the CSPO and CSPK quantities, training for staff and claims.

After completion of the on-site field assessment, Intertek also performed the evaluation of conformity against the RSPO Certification System requirements for CB. The assessment report, findings and associated documents were evaluated through an independent review by the Intertek Internal Evaluation Panel prior to submission of the Assessment Report to RSPO Secretariat for approval.

The details of the Assessment Plan (actual on-site) are provided in **Appendix A**.

2.2 Date of next scheduled visit

The next scheduled visit will be the Annual Surveillance Assessment (ASA-04) which will be carried out within a 12-month period after RSPO acceptance of this report.

2.3 Qualifications of the Lead Assessor and Assessment Team

Details of the Lead Assessor and Assessment Team and summary of their competency and skill may be obtained from RSPO or Intertek Certification International.

2.4 Certification Body

Intertek Certification International Sdn Bhd [formerly known as Moody International Certification (Malaysia) Sdn Bhd] is part of the Intertek Group, which is a worldwide technical services organization dedicated to reducing clients' risks by providing technical inspection services, management system certification in quality, environmental, occupational

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safety & health and product certification, RSPO SCC, ISCC, Marine Sustainability Chain-of-Custody, MTCS and PEFC Chain-of-Custody certification in applicable industry sectors including the agricultural and forestry sectors. Intertek operates globally providing clients with a wide-ranging technical inspection expertise and access to thousands of skilled specialists worldwide. Intertek Group's certification business is ranked in the top 10 worldwide, and is available globally offering certification across a wide range of industries.

2.5 Process of stakeholder consultation

Stakeholder consultations began with notification of the upcoming assessment through the websites of RSPO, IOI and Intertek. E-mails, facsimiles and letters of the same were sent to applicable stakeholders including government agencies, NGOs and local communities. Telephone enquiries were made prior to the actual assessment and stakeholder's response and feedback received were followed up accordingly.

During the assessment, stakeholders were interviewed and their feedbacks were recorded. Among the stakeholders consulted were workers, trade union leaders, women representatives; local community leaders, representatives of government departments / agencies and NGOs; external FFB suppliers, fertilizer suppliers and contractors.

Details on stakeholders' feedback, PMU response and Intertek verification / comments are provided in **section 3.3**.

Among the list of key stakeholders consulted was the following:

Government Agencies (by emails)

- | | |
|---|--|
| 1. Department of Lands And Mines, Kuala Lumpur | 15. Department of Occupational Safety & Health, Johor |
| 2. Department of Environment, Kuala Lumpur | 16. Department of Wildlife & National Parks, Johor |
| 3. Department of Forestry Peninsular Malaysia | 17. Land and Mines Office, Johor |
| 4. Department of Immigration, Kuala Lumpur | 18. Pertubuhan Keselamatan Sosial (SOCSO), Johor |
| 5. Department of Irrigation & Drainage, Kuala Lumpur | 19. Pejabat Buruh, Johor |
| 6. Department of Labour, Kuala Lumpur | 20. Department of Environment, Johor |
| 7. Department of Occupational Safety & Health, Kuala Lumpur | 21. Department of Forestry, Johor |
| 8. Department of Orang Asli Affairs, Kuala Lumpur | 22. Department of Immigration, Pahang |
| 9. Department of Wildlife & National Parks, Kuala Lumpur | 23. Department of Irrigation & Drainage, Pahang |
| 10. Department of Environment, Johor | 24. Department of Labour, Pahang |
| 11. Department of Forestry, Johor | 25. Department of Occupational Safety & Health, Pahang |
| 12. Department of Immigration, Johor | 26. Department of Wildlife & National Parks, Pahang |
| 13. Department of Irrigation & Drainage, Johor | 27. Land and Mines Office, Pahang |
| 14. Department of Labour, Johor | |

Statutory Bodies (by emails)

- | | |
|---|---|
| 28. Malaysian Palm Oil Board (MPOB) | 33. Malaysian Palm Oil Board (MPOB) - Sarawak Region |
| 29. Malaysian Palm Oil Board (MPOB) - Northern Region | 34. Malaysian Palm Oil Board (MPOB) - Sabah Region |
| 30. Malaysian Palm Oil Board (MPOB) - Central Region | 35. Malaysia Palm Oil Association (MPOA) |
| 31. Malaysian Palm Oil Board (MPOB) - Southern Region | 36. Malaysia Palm Oil Association Kuala Lumpur (MPOA) |
| 32. Malaysian Palm Oil Board (MPOB) - Eastern Region | 37. Malaysia Palm Oil Association Sabah (MPOA) |

NGOs (by emails)

- | | |
|--|---|
| 38. All Women's Action Society (AWAM) | 68. Malaysian Nature Society Pulau Pinang |
| 39. BCSDM - Business Council for Sustainable Development in Malaysia | 69. Malaysian Nature Society Sabah |
| 40. Borneo Child Aid Society (Humana) | 70. Malaysian Nature Society Terengganu |
| 41. Borneo Resources Institute Malaysia (BRIMAS) | 71. Malaysian Plant Protection Society (MAPPS) |
| 42. Borneo Rhino Alliance (BORA) | 72. Mountaineering and Outdoor Pursuits Association of Negeri Sembilan |
| 43. Center for Orang Asli Concerns COAC | 73. National Council of Welfare & Social Development Malaysia - NCWSDM |
| 44. Centre for Environment, Technology and Development, Malaysia – CETDEM | 74. National Union of Plantation Workers (NUPW) |
| 45. Consumers Association Of Penang – CAP | 75. Partners of Community Organisations (PACOS) |
| 46. EcoKnights | 76. Penang Institute previously known as Socio-Economic & Environmental Research Institute (SERI) |
| 47. ENO Asia Environment | 77. Pesticide Action Network Asia and the Pacific (PAN AP) |
| 48. Environmental Management and Research Association of Malaysia (ENSEARCH) | 78. Proforest - South East Asia Regional Office |

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- | | |
|--|--|
| 49. Environmental Protection Society Malaysia (EPSM) | 79. R.E.A.C.H. - Regional Environmental Awareness Cameron Highlands |
| 50. Friends of the Earth, Malaysia | 80. Sabah Wetlands Conservation Society (SWCS) |
| 51. Future in Our Hands Society, Malaysia | 81. SEPA - Sabah Environmental Protection Association |
| 52. Global Environment Centre | 82. SUARAM - Suara Rakyat Malaysia |
| 53. HUTAN - Kinabatangan Orang-utan Conservation Programme | 83. SUHAKAM - National Human Rights Society - Persatuan Kebangsaan Hak Asasi Manusia |
| 54. Institute of Foresters, Malaysia (IRIM) | 84. Sustainable Development Network Malaysia (SUSDEN) |
| 55. JUST - International Movement for a Just World | 85. Tenaganita Sdn Bhd |
| 56. Malaysian CropLife & Public Health Association (MCPA) | 86. The Malaysian Forum of Environmental Journalist (MFEJ) |
| 57. Malaysian Environmental NGOs – MENGO | 87. TRAFFIC Southeast Asia - Wildlife trade & trafficking monitoring programme |
| 58. Malaysian National Animal Welfare Foundation – MNAWF | 88. Transparency International - Malaysian Chapter |
| 59. Malaysian Nature Society (MNS) Kuala Lumpur | 89. Treat Every Environment Special Sdn Bhd. (TrEES) |
| 60. Malaysian Nature Society Johor | 90. UNION - AMESU |
| 61. Malaysian Nature Society Kedah | 91. United Nations Development Programme - UNDP Malaysia |
| 62. Malaysian Nature Society Kelantan | 92. Water Watch Penang (WWP) |
| 63. Malaysian Nature Society Kuching | 93. Wetlands International (Malaysia) |
| 64. Malaysian Nature Society Melaka/Negeri Sembilan | 94. Wild Asia Sdn Bhd |
| 65. Malaysian Nature Society Miri | 95. World Wide Fund for Nature (WWF) Malaysia |
| 66. Malaysian Nature Society Pahang | 96. World Wide Fund of Nature (WWF) Sabah |
| 67. Malaysian Nature Society Perak | |

Local community (On-site interviews)

Gender representatives
Workers representatives

Suppliers / Contractors
Village Heads

3.0 ASSESSMENT FINDINGS

3.1 Summary of findings

The assessment confirmed that Pukin grouping demonstrated compliance against the RSPO Principles and Criteria (April 2013), Malaysian National Interpretation (MY- NI, November 2010) and RSPO Supply Chain Certification Standard (November 2011) for POM.

3.1.1 Supply Chain Certification Standards Findings - on CPO Mill

The Supply Chain model applied at Pukin grouping POM during this assessment is Segregation.

3.1.2 Status on Supply Chain on POM:

Based on the documents and records presented during the on-site verifications made, it is concluded that the **Pukin grouping** POM has been able to comply with the requirements of the RSPO SCCS under the 'SG' model and is thus eligible for 'SG' trading for its palm products for year 2013/2014.

3.2 Status of Identified Noncompliance and Corrective Actions, Observations and Identified Positive Elements

All previous non-conformances and observation have been closed off. During this assessment, there was 2 Minor NCR and 2 Observations. The root cause and corrective action taken by the company were reviewed and these had been accordingly incorporated into the full detailed report.

Identified Positive Elements

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1. CSR activities have been maintained by the IOI Pukin PMU Grouping such as financial funding for education , social and religious activities.
2. OSH activities have been very well implemented and maintained for all units within the IOI Pukin PMU Grouping.

3.3 Feedback Raised by Stakeholders and Findings

Intertek had obtained some written and verbal feedback from the stakeholders on the environmental and social performance of Pukin grouping operations in the course of assessment and consultations. During the Annual Surveillance Assessment (ASA-03) cum Extension of Scope, all pertinent feedback issues were reviewed and followed up for verification and these had been accordingly incorporated into the full detailed report.

4.0 Assessment Conclusion and Recommendation

Based on the findings above, IOI Corporation Pukin Grouping had been able to demonstrate its compliance with the RSPO Principles and Criteria (Apr 2013), Malaysian National Interpretation (MY-NI 2010) and the RSPO Supply Chain Certification Standard (Nov 2011) for Palm Oil Mill.

Therefore, it is recommended that the certification of IOI Corporation Pukin Grouping be approved and continued.

Full report signed off by:

Mohan Thavarajah
Lead Assessor
Intertek Certification International Sdn Bhd

4.1 Acknowledgement of Internal Responsibility and Confirmation of Assessment Findings

This is to acknowledge and confirm the assessment visits described in this report and the acceptance of the contents and findings in this assessment report.

Full report acknowledged and signed off by:

Mr Too Heng Liew
Sustainability Head (Malaysia / Indonesia)

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4.2 Intertek RSPO Certificate details for Pukin grouping

Certificate No:	RSPO 927888
Issue date:	13 Jun 2012
Expiry date:	12 Jun 2017
Organization	IOI Corporation Berhad
Address of Head Office:	Level 8, Two IOI Square, IOI Resort, 62502 Putrajaya, Malaysia
RSPO Membership No:	2-0002-04-000-00
Plantation Management Unit:	Pukin Grouping
Address of POM:	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang
Standards:	RSPO Principles and Criteria (Apr 2013) Malaysian National Interpretation (MY- NI, Nov 2010) and RSPO Supply Chain Certification Standards (Nov 2011) for the Palm Oil Mill
Certification scope:	Production of Crude Palm Oil and Palm Kernels
Supply Chain model for CPO & PK:	Segregation (SG)

Details of the Mill and Supply bases covered by this certificate and the tonnage approved are:

Name	Address	GPS Reference	
		Latitude	Longitude
Pukin Palm Oil Mill Capacity (60 mt/hr)	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 43'07.9" N	102° 54'28.7" E
Pukin Estate	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 43'07.9" N	102° 54'28.7" E
Shahzan 1 Estate	30km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 47'58.5" N	102° 50'56.3" E
Shahzan 2 Estate	36km, Lebuhraya Tun Abdul Razak, Keratong, Rompin, Pahang	02° 48'59.6" N	102° 52'26.5" E
Segamat Estate	Km 5, Jalan Segamat Muar, 85009 Segamat, Johor	02° 29'22.0" N	102° 52'58.5" E
Leepang A Estate	KM 68, Kuantan-Segamat Highway, 26700 Muadzam Shah, Pahang.	03°00'36" N	103°01'48" E
Laukin A Estate	KM 72, Kuantan-Segamat Highway, 26700 Muadzam Shah, Pahang.	03°01'26" N	103°02'33" E
Bukit Serampang Estate	KM 12, Jalan Sagil-Tangkak, Segamat, 84900, Tangkak, Johor.	02°19'53.65" N	102°41'17.37" E

The annual certifiable tonnages of CPO and PK production by Pukin Grouping from the supply base/suppliers as assessed and verified during the current assessment and projected for next FY period are detailed as follows:

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POM	2013 / 2014 - Actual + Projected		2014 / 2015 - Actual + Projected	
Total FFB Processed (MT)	319,257.22		329,300.00	
Total CPO Production (MT)	68,768.01	OER: 21.54%	74,915.75	OER: 22.75%
Total PK Production (MT)	15,260.45	KER: 4.78%	16,465.00	KER: 5.00%

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**Appendix A:
Assessment Plan (Actual)**

Date	Time	Assessors and Assessment Activity	
		Assessment Team	
7/04/2014	9.00 – 1.00 pm	Travel to Rompin, Pahang	
Day 1			

Date	Time	Assessors and Assessment Activity		
		Assessment Team		
		Mohan Thavarajah (MT)	Chen Fai Kok (CFK)	Jumat bin Majid (JMD)
8/04/2014	9.00 am – 10.00 am	Opening Meeting and Briefing at Pukin grouping– POM Office		
Day 2	10.00 am – 1.00 pm	Site assessment at Pukin grouping Palm Oil Mill (RSPO P&C: 1~8) Review of Documentation and Follow up Issues based the Previous Assessment <ul style="list-style-type: none"> • Review of changes for compliance to revised P&C 2013 • Review of Time Bound Plan • Verification for Compliance with Rules on Partial Certification • P1 Transparency • P2 Laws & regulations • P3 Economic & Financial Viability • P5 Environmental, Conservation & Mill including HCV • P8 Continual Improvement • SCC for POM 	Site assessment at Leepang A estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P4 Best Practices at Estates & Mill • P7 New Plantings • P8 Continual Improvement 	Site assessment at Leepang A estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P6 Employees, Individuals & Communities incl. Gender Issues • P8 Continual Improvement
	1.00 pm – 2.00 pm	Lunch		
	2.00 pm - 6.00 pm	Continue site assessment at Pukin grouping Palm Oil Mill	Continue site assessment at Leepang A estate	Continue site assessment at Leepang A estate
	6.00 pm– 7.00 pm	Break		
	7 – 10 pm	Team meeting and discussion		

Date	Time	Assessors and Assessment Activity		
		Assessment Team		
		Mohan Thavarajah (MT)	Chen Fai Kok (CFK)	Jumat bin Majid (JMD)
9/04/2014	9.00 am – 1.00 pm	Site assessment at Laukin A estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P1 Transparency • P2 Laws & regulations • P3 Economic & Financial Viability • P5 Environmental, Conservation & Mill including HCV • P8 Continual Improvement 	Site assessment at Laukin A estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P4 Best Practices at Estates & Mill • P7 New Plantings • P8 Continual Improvement 	Site assessment at Laukin A estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P6 Employees, Individuals & Communities incl. Gender Issues • P8 Continual Improvement
Day 3	1.00 pm – 2.00 pm	Lunch		
	2.00 pm - 6.00 pm	Continue Site assessment at Laukin A estate	Continue Site assessment at Laukin A estate	Continue Site assessment at Laukin A estate

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	6.00 pm– 7.00 pm	Break
	7 – 10 pm	Team meeting and discussion

Date	Time	Assessors and Assessment Activity		
		Assessment Team		
10/04/2014 Day 4	9.00 am – 1.00 pm	Mohan Thavarajah (MT) Site assessment at Bukit Serampang estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P1 Transparency • P2 Laws & regulations • P3 Economic & Financial Viability • P5 Environmental, Conservation & Mill including HCV • P8 Continual Improvement 	Chen Fai Kok (CFK) Site assessment at Bukit Serampang estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P4 Best Practices at Estates & Mill • P7 New Plantings • P8 Continual Improvement 	Jumat bin Majid (JMD) Site assessment at Bukit Serampang estate (RSPO P&C: 1~8) <ul style="list-style-type: none"> • P6 Employees, Individuals & Communities incl. Gender Issues • P8 Continual Improvement
	1.00 pm – 2.00 pm	Lunch		
	2.00 pm - 6.00 pm	Stakeholder / Consultations: <ul style="list-style-type: none"> • Contractors • Suppliers • Transporters • NGOs • Government Department / Agencies • Local Community # Mandatory for each category to be audited		
	6.00 pm– 7.00 pm	Break		
	7 – 10 pm	Team meeting and discussion		

Date	Time	Assessors and Assessment Activity
		Assessment Team
11/04/2014 Day 5	9.00 am – 11.00 am	Preparation of Closing Meeting
	11.00 am – 12.00 pm	Team Meeting and Discussions with Pukin grouping Management Representative
	12.00 pm – 1.00 pm	Closing Meeting & Briefing at Palm Oil Mill Office
	1.00 pm – 2.00 pm	Lunch
	2.00 pm onwards	Travel back to Kuala Lumpur

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Appendix B-1:

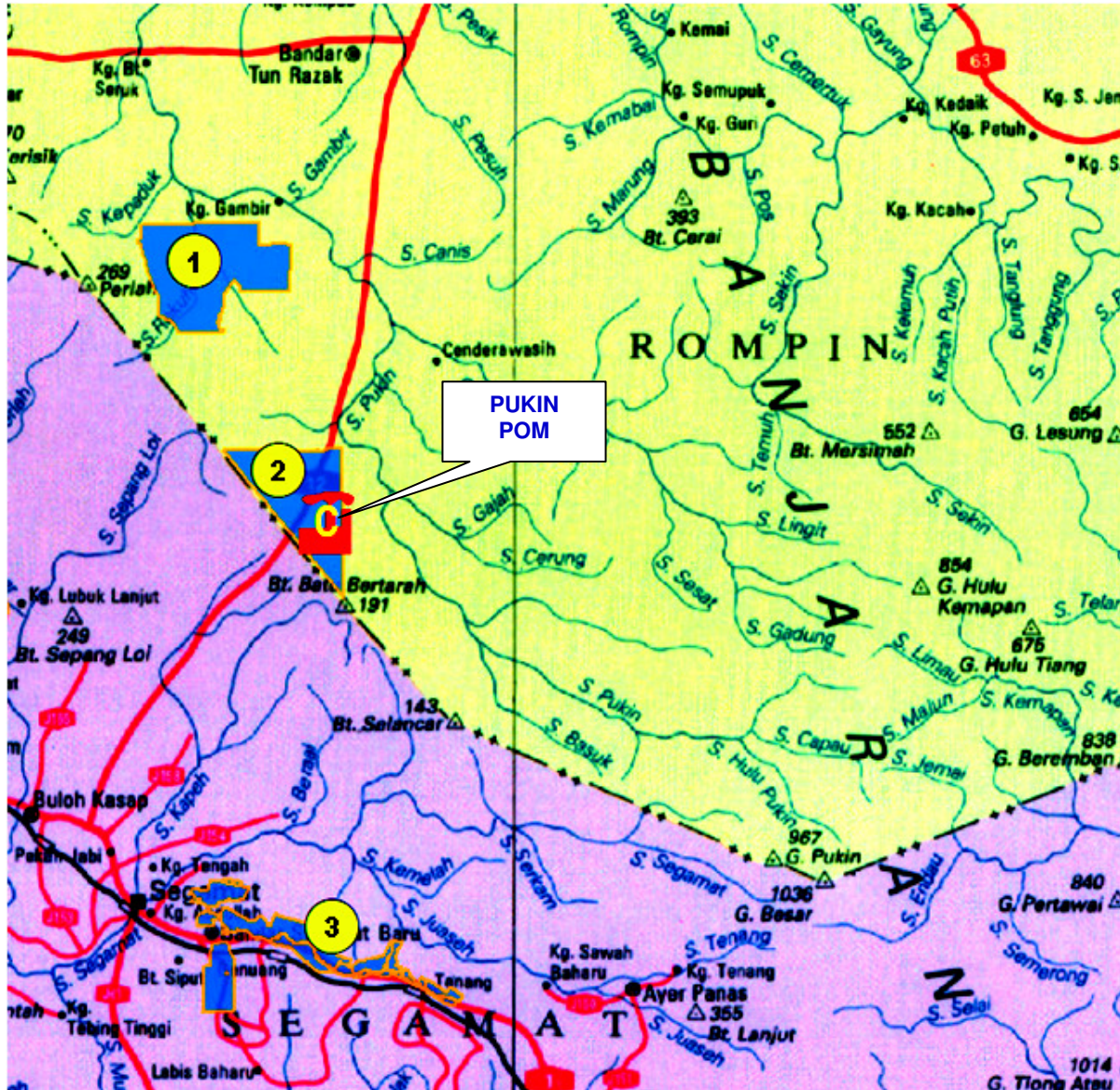
Location Map of IOI Pukin grouping, Johor, Malaysia



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Appendix B-2:

Location Map of IOI Pukin PMU



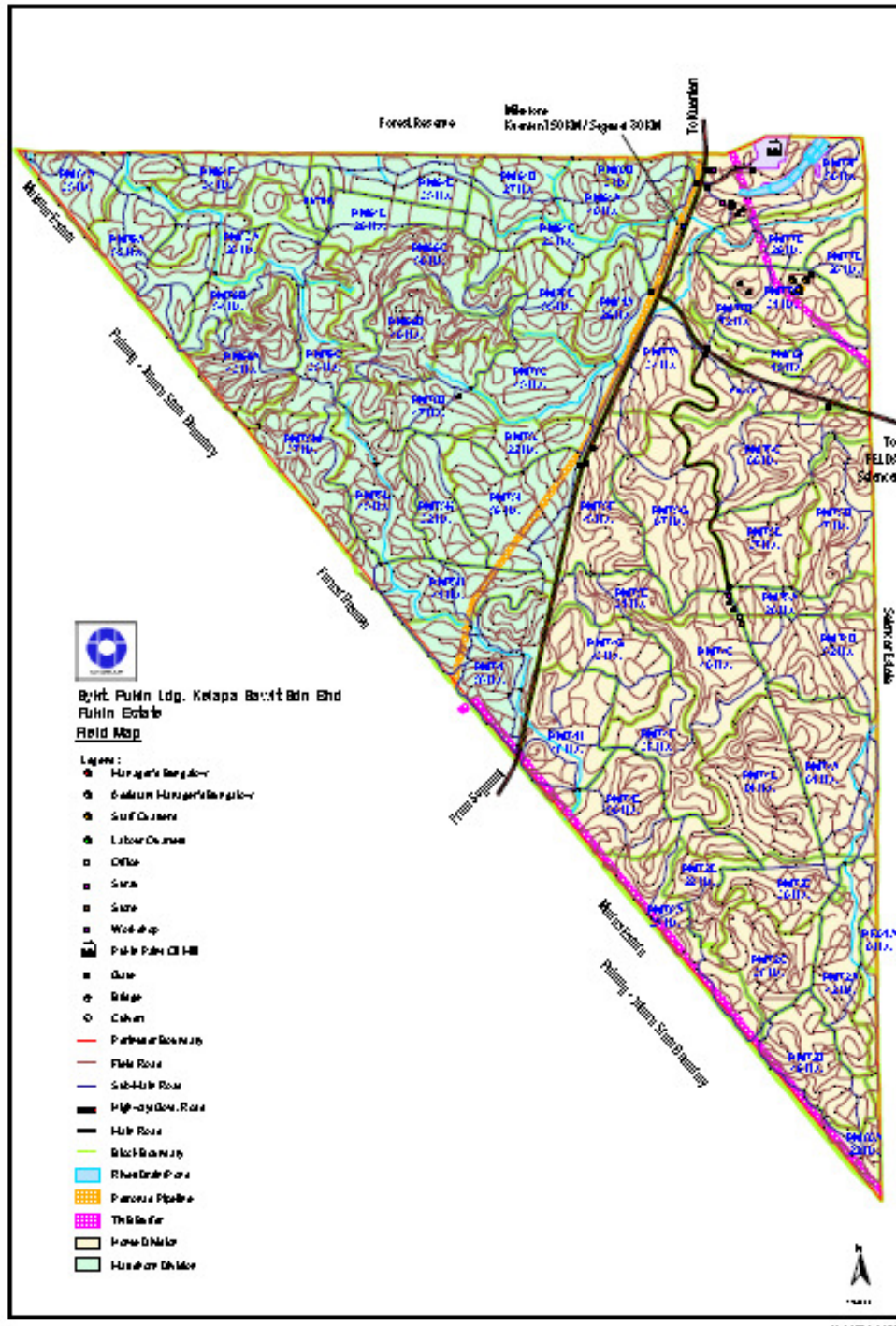
Legend:

- C - Pukin Oil Mill
- 1 - Shahzan 1 & Shahzan 2 Estates
- 2 - Pukin Estate
- 3 - Segamat Estate

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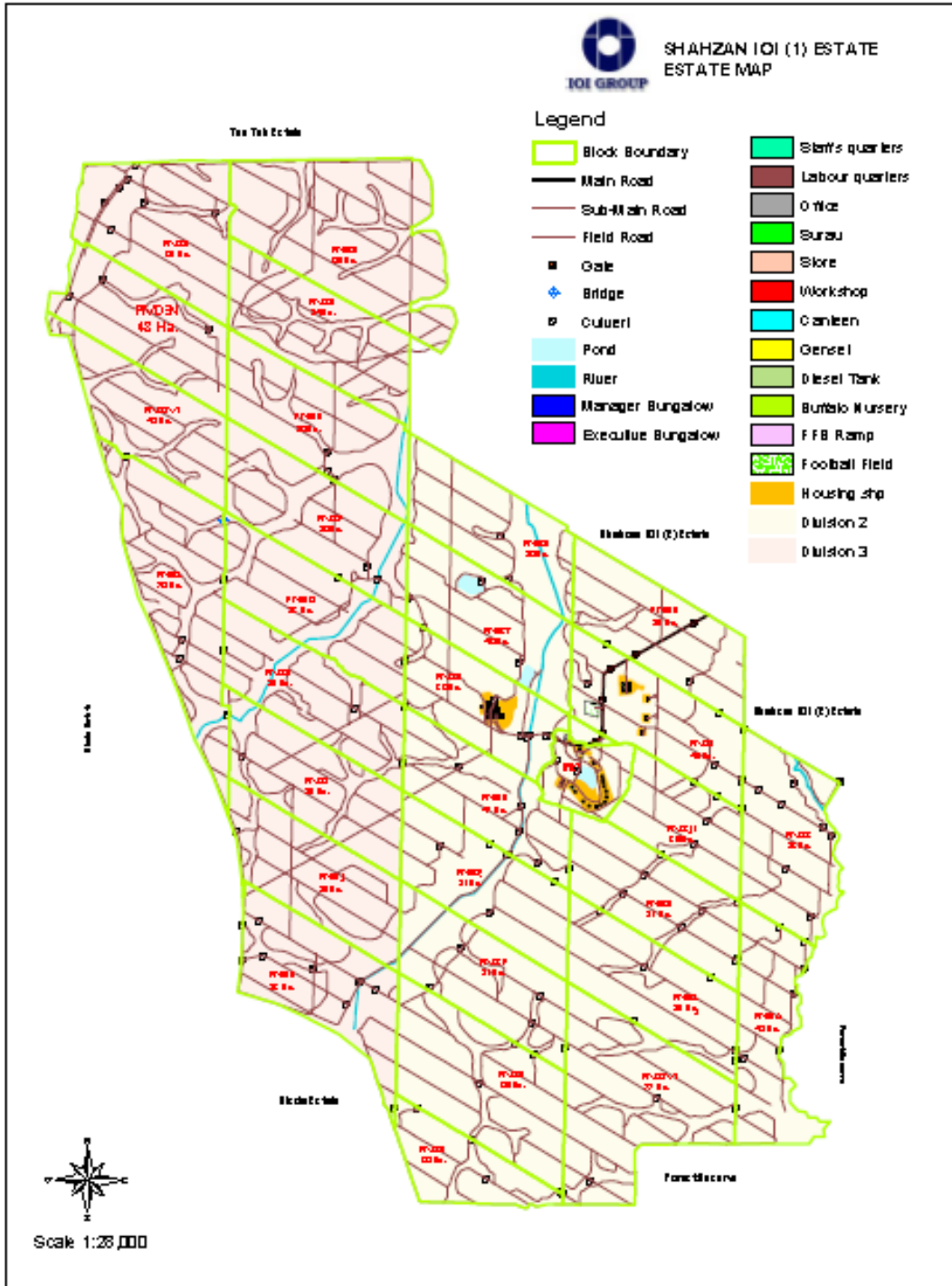
Appendix B-3:

Field Map Pukin POM and Estate



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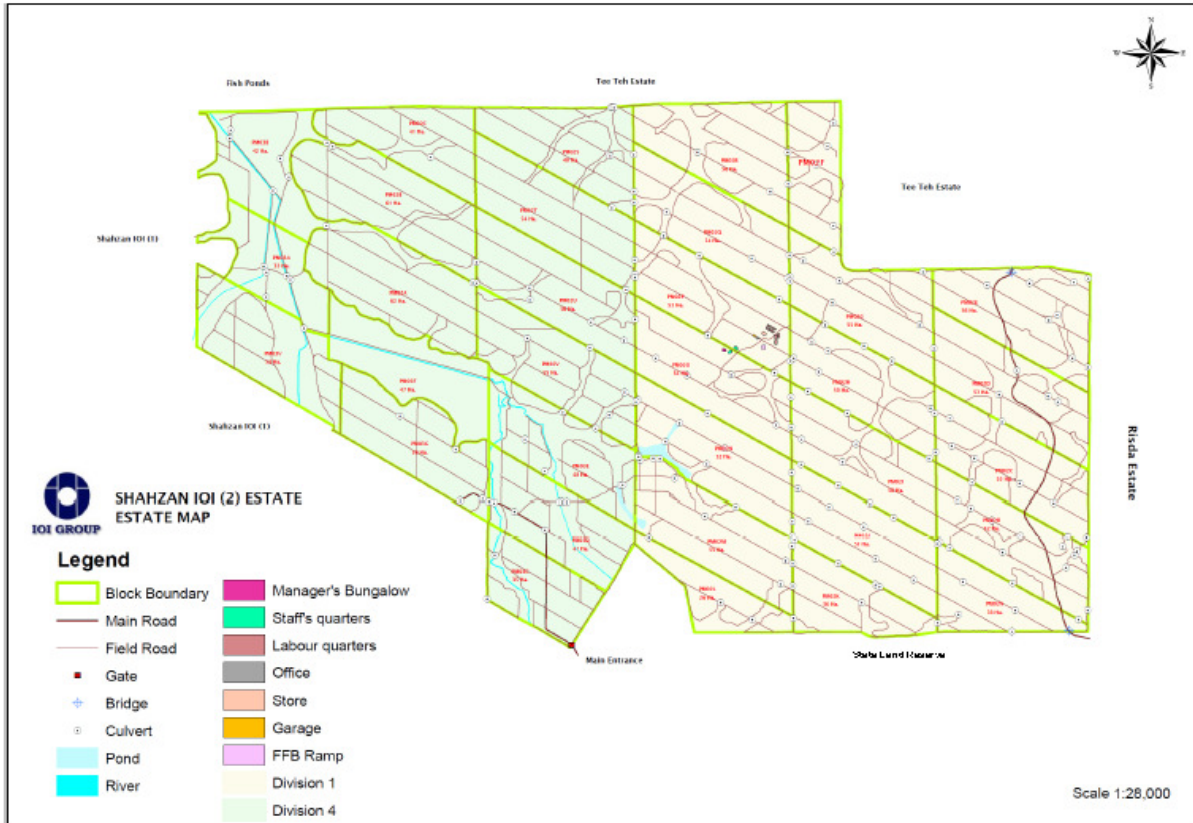
**Appendix B-4:
Field Map Shahzan (1) estate**



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Appendix B-5:

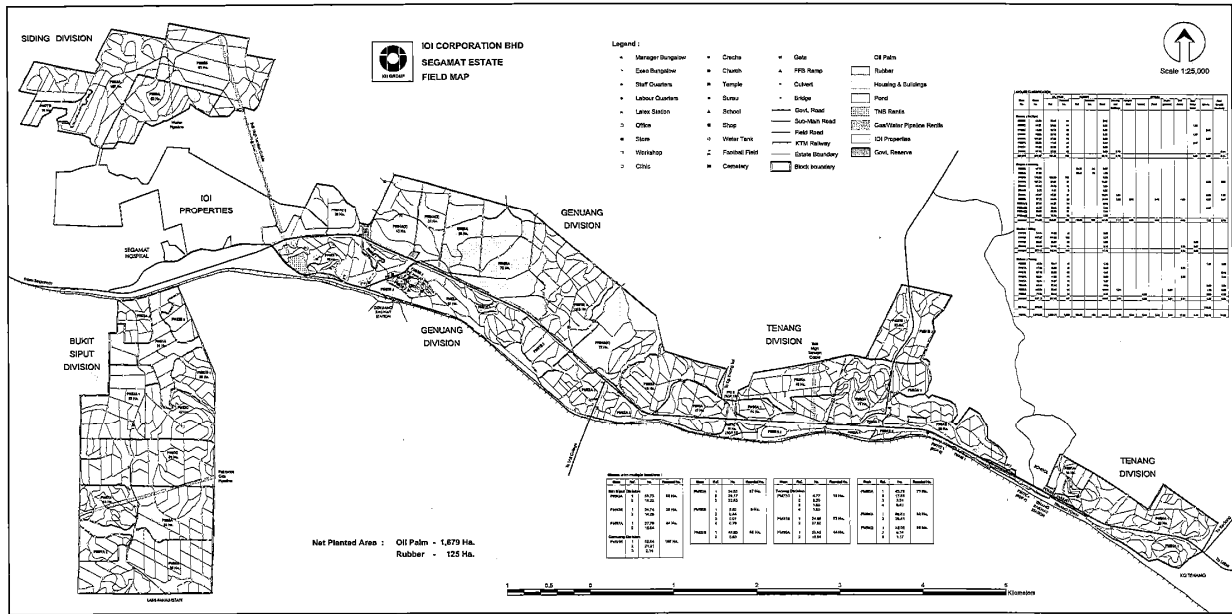
Field Map Shahzan (2) estate



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Appendix B-6:

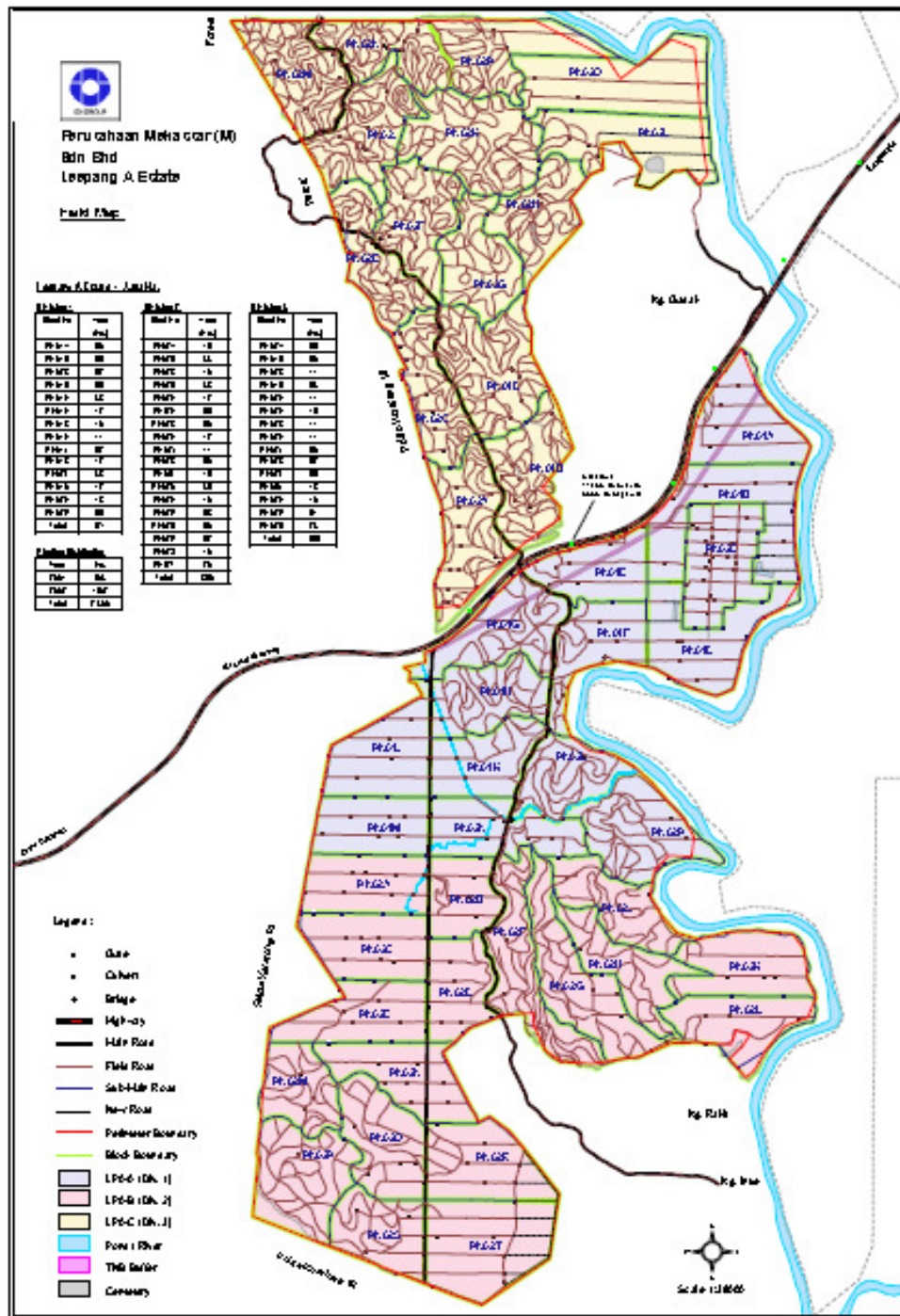
Field Map Segamat estate



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Appendix B-7:

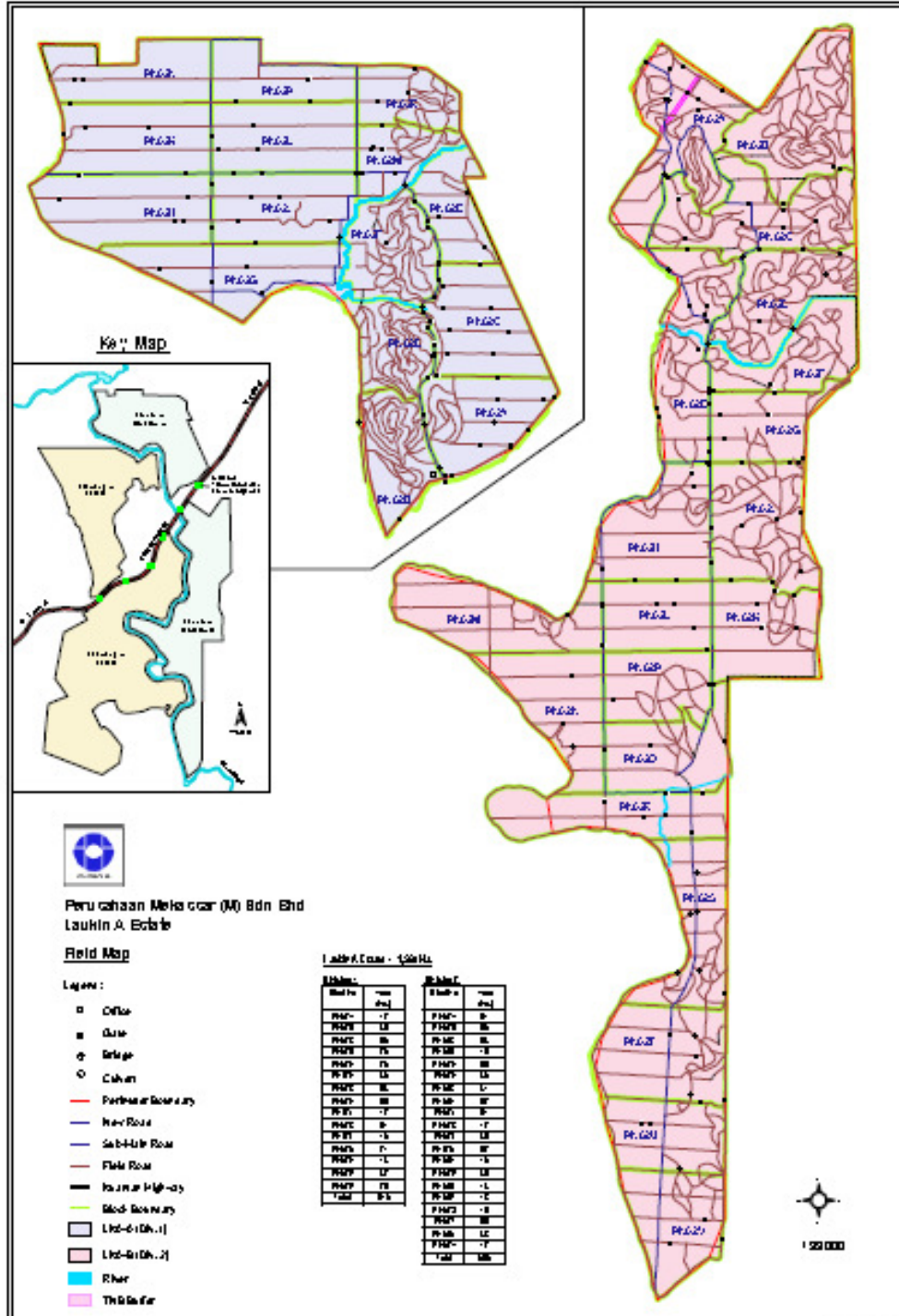
Location Map of Leaping A Estate



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Appendix B-8:

Location Map of Laukin A Estate



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Appendix B-9:

Location Map of Bukit Serampang Estate

